Surveillance at ACER

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● Surveillance in numbers
● Surveillance at ACER
● Surveillance results
● Key messages
### Surveillance in numbers (1)

<table>
<thead>
<tr>
<th>Category</th>
<th>2018</th>
<th>2019</th>
</tr>
</thead>
<tbody>
<tr>
<td>Market Participants</td>
<td>13k+</td>
<td>14k+</td>
</tr>
<tr>
<td>Registered Reporting Mechanisms</td>
<td>100</td>
<td>120</td>
</tr>
<tr>
<td>Organised Marketplaces*</td>
<td>70</td>
<td>83</td>
</tr>
</tbody>
</table>

*Organised Market Places may have a role of Registered Reporting Mechanisms

Source: REMIT portal July 2019
Number of reported orders and trades
Quarterly aggregation

Source: REMIT data July 2019
Main developments 2018/2019:

- Improved functionality of surveillance tool
- Adapting to the market developments
- Development of tools beyond alerts
- Improving data quality
- Decreasing the number of false positives
- Providing better information to the NRAs
- Detection of various behaviour types
- From manual surveillance towards automated surveillance (Paradigm shift)
- Decision support tools beyond alerts:
  » Position report
Surveillance results (1)

- Monthly alert sharing with NRAs
- Feedback sessions with NRAs
- Preliminary Initial Assessments (limited)
- Knowledge sharing
- NRA support
- Building surveillance community
## Monthly alert statistics

**Autumn 2017 – Autumn 2019**

<table>
<thead>
<tr>
<th>Category</th>
<th>First 12 months</th>
<th>Second 12 months</th>
</tr>
</thead>
<tbody>
<tr>
<td>Triggered Alerts</td>
<td>7,500</td>
<td>11,000</td>
</tr>
<tr>
<td>Manually Assessed Alerts</td>
<td>1,000</td>
<td>1,000</td>
</tr>
<tr>
<td>Shared Alerts*</td>
<td>70</td>
<td>55</td>
</tr>
<tr>
<td>Preliminary Initial Assessment</td>
<td>1</td>
<td>1</td>
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</table>

*Shared with NRAs since January 2018.*
Thank you for your attention!

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