

# Annex 1 - Declaration of Interests Form

This Form should be used for the Declaration of Interests, as referred to in the Decision of the Administrative Board of the Agency for the Cooperation of Energy Regulators AB n° 02/2015 of 31 January 2015 (hereinafter "AB Decision 02/2015") and, where relevant, reflecting the legislative background (Directive 2009/72/EC, Directive 2009/73/EC and Regulation (EC) No 713/2009).

Broadly speaking, there is a conflict of interest where the impartiality and objectivity of a decision, opinion or recommendation of the Agency and/or its bodies, is or might in the public perception be compromised by an interest held by, or entrusted to, an individual working for the Agency. However, having an interest does not necessarily mean having a conflict of interest. In particular, high quality of expertise is by nature based on prior experience. Declaring an interest does therefore not automatically disqualify someone or limit their participation in the activities of the Agency.

The Declaration of Interests form is not intended to provide an exhaustive list of potential interests: other elements that might jeopardise the independence of those involved when working in or with the Agency should also be indicated in the Declaration.

The completed Declarations will be reviewed in accordance with the Agency's Policy for the prevention and management of conflicts of interest.

Name:	
PROF. DR. MARIANO BACIGALUPO SAGGESE	
Position / Involvement in the Board or Agency <sup>1</sup> :	
Alternate member of the Board of Appeal	
†	

<sup>&</sup>lt;sup>1</sup> If you are both a member of the Board of Regulators and of an Agency Working Group, please indicate both functions.



I hereby declare the following interests that could be prejudicial to my independence (please specify the interest that you or your close family members<sup>2</sup> currently have or have had in the recent past<sup>3</sup>).

I. Employment, consultancy, legal representation or advice

In order to assess the possibility of a conflict of interest, please provide details of any employment held or any other professional relationship entered into in the recent past<sup>4</sup> by you or your close family members<sup>5</sup> with a natural or legal entity<sup>6</sup> or other organisation<sup>7</sup> with an interest in the field of activity of the Agency.

□ I have nothing to declare in this respect
X□ I declare the following interests:

Activity	Time period	Name of	Description
	(fromuntil	commercial	-
	month/year)	entity or	
	,	organisation	

<sup>&</sup>lt;sup>2</sup> 'Close family members' are considered to be the persons forming a household with the person making this declaration (spouse, partner, and/or dependent children). In case you add information on your close family members, please inform them that the Agency will be processing these data about them and that further information is available on the Agency's website.

<sup>&</sup>lt;sup>3</sup> It is suggested that the Declaration covers current interest as well as those held over at least the last 5 years.

<sup>&</sup>lt;sup>4</sup> See footnote 3.

<sup>&</sup>lt;sup>5</sup> See footnote 2.

<sup>&</sup>lt;sup>6</sup> This includes any commercial business, industry association, consultancy, research institution or other enterprise whose funding is significantly derived from commercial sources. It also includes independent own commercial businesses, law offices, consultancies or similar.

<sup>&</sup>lt;sup>7</sup> This includes governmental, international or non-profit organisations.



Member of the Board of the Spanish National Commission for Markets and Competition (CNMC)	Since 7/2017	CNMC	
Professor of Law	Since 1993	UNED University	
Legal Consultant	2013-2017	consultant and	Includes legal consultancy in the field of administrative and regulatory (energy) law.
Chief Legal Advisor of the Spanish National Energy Commission (CNE)	2006-2012	CNE	
Spouse (Ms Teresa Ribera): Minister for Ecological Transition, Government of Spain	Since 6/2018		

## II. Membership of Managing Body, Advisory Body or equivalent structure

In order to assess the possibility of a conflict of interest, please provide details of any participation by you or your close family members<sup>8</sup> in the recent past<sup>9</sup> in the internal decision-making of a commercial entity or other organisation or in the works of an Advisory Body with voting rights on the outputs of such an entity, when there may be an interest in the field of activity of the Agency.

	have nothing	to declare in this res	pect
XΓ	□ I declare the f	following interests:	

Activity	Time period	Name of	Description
	(fromuntil	commercial	
	month/year)	entity or	
		organisation	

<sup>9</sup> See footnote 3.

<sup>&</sup>lt;sup>8</sup> See footnote 2.



Secretary of the Board and	1.4.2006-	National Energy	Legal advice for the Spanish
Chief Legal Advisor	15.11.2012	Commission,	National Regulatory
		CNE (Spain)	Authority for Energy
Spouse: Secretary of State for	21.4.2008-	Ministry of	
Climate Change	30.12.2011	Environment	
		(Spain)	

## III. Other membership or affiliation

In order to assess the possibility of a conflict of interest, please provide details of any membership or affiliation either of yourself or of your close family members<sup>10</sup> in the recent past<sup>11</sup>. For completeness, please also provide details of any other arrangements, other than those declared in Sections I and II above, which can be perceived as creating a potential conflict of interest.

X□ I have nothing to declare in this re	espect
□ I declare the following interests:	•

Activity	Time period (fromuntil month/year)	Name of commercial entity or organisation	Description

### IV. Research funding

In order to assess the possibility of a conflict of interest, please provide details of any support (including grants, rents, sponsorships, fellowships, non-monetary support) that you, your close family members 12 or any research entity to which you or your close family members belong(ed) has received in the recent past 13, from a commercial entity or other organisation with an interest in the field of activity of the Agency.

X□ I have nothing to dec	lare in this respect
□ I declare the following	interests:

<sup>11</sup> See footnote 3.

<sup>&</sup>lt;sup>10</sup> See footnote 2.

<sup>&</sup>lt;sup>12</sup> See footnote 2.

<sup>&</sup>lt;sup>13</sup> See footnote 3.



Activity	Time period (fromuntil month/year)	Name of commercial entity or organisation	Description

#### V. Investments

In order to assess the possibility of a conflict of interest, please provide details of any current investment that you or your close family members<sup>14</sup> have in a commercial entity with an interest in the field of activity of the Agency (including holding of stocks and shares, stock options, equity, bonds, partnership interest in the capital of such undertaking) or in one of its subsidiaries. Investment should generally be declared only if they exceed 10,000 EUR per commercial entity or if they entitle to a voting right of 5% or more in a commercial entity<sup>15</sup>.

X□ I have nothing to declare in this respec	;t
□ I declare the following interests:	

Name of commercial entity or organisation

-

<sup>&</sup>lt;sup>14</sup> See footnote 2.

<sup>&</sup>lt;sup>15</sup> You may exclude financial interests held through an investment fund, pension fund and/or interests in non-nominal unit trusts or similar arrangements, provided that these investments are broadly diversified and you have no influence on their financial management.



## VI. Other relevant information

In orde	er to	as	sess	the	poss	ibility	of a	a c	confli	ct of	inte	rest,	pleas	e in	dicate	any	oth	эr
elemen	its th	hat	could	be	seen	as je	ора	rdi	sing	your	inde	pena	lence	whe	n wor	king t	or th	ıe
Agency	<i>'</i> .																	

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I hereby declare that I have read AB Decision 02/2015 containing the Agency's Policy for the prevention and management of conflicts of interest and that the above Declaration of Interests is to my best knowledge complete and in compliance with such AB Decision 02/2015 and, where relevant, the legislative background.

I understand that the Declarations of Interest will be processed according to the Policy for the prevention and management of conflicts of interest and entered in a register held by the Agency.

The Declaration of Interest of Agency's board members, of Agency's Working Group's Chairs and Vice-Chairs, of Task Force's Conveners, of the Agency's Director and Senior Management will also be published on the Agency's website. For security and data protection reasons the signatures provided on the Declaration of Interest will not be visible when it is published on the Agency's website.

Please note that the Agency will ensure that your personal data hereby submitted is processed in line with Regulation (EC) No 45/2001 on the protection of individuals with regard to the processing of personal data by the Community institutions and bodies and on the free movement of such data<sup>16</sup>. For more details on the processing of your personal data, see the privacy statement applicable to your situation.<sup>17</sup>

If you include information on close family members, please inform them that the Agency will be processing data related to them.

Done atMADRID	On 30 JANUARY 2019
Signature:	
SIGNED	

<sup>&</sup>lt;sup>16</sup> OJ L8, 12.01.2001, p.1.

<sup>&</sup>lt;sup>17</sup> http://www.acer.europa.eu/The agency/Pages/Data-Protection.aspx